

Authorization Glossary

Status of This Memo

This memo provides information to the Grid community in the area of Grid authorization. It does not define any standards or technical recommendations. Distribution is unlimited.

Copyright Notice

Copyright © Global Grid Forum (2004). All Rights Reserved.

Abstract

This document provides a comprehensive glossary for the area of grid authorization.

Contents

| | |
|---------------------------------------|----|
| 1. Introduction | 2 |
| 2. Glossary..... | 2 |
| 3. Common Acronyms: | 9 |
| 4. Security Considerations..... | 10 |
| Author Information | 10 |
| Acknowledgements | 10 |
| Intellectual Property Statement | 11 |
| Full Copyright Notice | 11 |
| References | 11 |

1. Introduction

This document provides a comprehensive glossary for the area of grid authorization. An attempt has been made to identify the most common interpretation of terms used in grid authorization while also pointing out possible alternatives in various contexts. The reader should use the applicable definition for the context in question. When the term is defined in a standards document, that document is referenced. The numbers in parentheses behind a glossary term refer to paragraphs in the GGF document "Conceptual Grid Authorization Framework and Classification" in which these terms are mentioned and explained. The "Conceptual Grid Authorization Framework and Classification" document is an informational document that intends to introduce the vocabulary and models for Grid authorization.

2. Glossary

- AAA (Authentication, Authorization, Accounting) Server RFC 2904 (2.2)
 A server that handles authentication of users, authorization of users to access resources, and accounting of the use of the resources. Often these functions are handled by three separate servers.
- Access Control Decision Function (ADF) ISO-10181-3, (3.2)
 Makes authorization decisions about a subject's access to a service. It is equivalent to the **Policy Decision Point (PDP)** defined in RFC2753 and also RFC2904. It is normally part of an authorization server and is independent of the resource or application. However, it may be co-located with the access control enforcement function.
- Access Control Enforcement Function (AEF) ISO-10181-3, (3.2)
 Mediates access to a resource based on authorization decisions by an access control decision function (ADF) or service. It is equivalent to the **Policy Enforcement Point (PEP)** defined in RFC2753 and also RFC2904. It is most often either integrated into or located in front of the resource it protects. It is typically application dependent.
- Access Policy, Access Control Policy XACML, PONDER, (4.1, 4.3)
 The list of rules in a particular expression language which govern whether or not requests for access will be approved. Also called Authorization Policy.
- Administrative Domain (2.3)
 Those machines and services administered by the same organization. Alternately, those machines and services which are subject to the same operational rules and accept the same source of authority.
- Assertion (2.1, 4.1.1)
 A statement by some authority that a subject has some property. The authority may be explicitly named in the assertion, or may be implied by the source of the assertion. See attribute and authorization assertions.
- Attribute (2.5, 3.3, 4.2.3)
 A named property (type and value) associated with an entity. The most commonly used attributes are those associated with subjects, .e.g., roles, group membership. Attributes can also be associated with resources, such as the clearance level required to access the resource
- Attribute Assertion (2.1, 4.2.3.3)

A statement that a subject is the holder of a specific attribute. In some cases the attribute has a single value e.g., "Is a faculty member". In other cases, it is a name/value pair e.g., role=administrator. The statement is made by an attribute authority, whose identity is either part of the assertion or can be implied from the source of the assertion. The assertion may have a validity period for which it is valid or may have an issuance time. The assertion may be signed by its issuer.

Attribute Assertion Repository (4.2.3.2)

A place to store attribute assertions. Assertions can be added to the repository by the attribute authorities and can be retrieved by a subject or by an access decision function.

Attribute Authority (2.1, 4.2.1)

An entity that is trusted to issue attribute assertions. It may belong to the subject's domain or to a virtual organization.

Attribute Authority Domain (4.2.1)

The domain in which a given attribute authority is recognized. Within an attribute domain, attribute syntax and meaning are agreed upon. There may explicit shared policy within the domain as to the range of values and users about which the authority may make assertions.

Attribute Certificate RFC 2904, RFC 3281, (3.3.1)

A structured document containing attributes used for authorization which is digitally signed using public key cryptography. IETF RFC3281 defines an X.509 attribute certificate as an ASN.1 document, which asserts an attribute about an entity that is valid within a specified period and signed by an X.509 private key. An Attribute Certificate is one type of Attribute Token.

Attribute Schema (4.2.3.1)

The schema for describing the meaning and structure of an attribute and its elements.

Attribute Token (4.2.3.3)

A general term for the object that is presented as proof of right to claim an attribute. May be an attribute assertion or attribute certificate.

Authentication Credential (4.4)

Those pieces of information necessary for some entity to authenticate as a given identity. Includes an identifier (e.g. a username) and some secret (e.g. a password or private key). The authentication process typically involves the proof of possession of the secret component without the need to make the secret component available to the other party.

Authentication Token (4.2)

The object which is presented as proof of having authenticated to the issuer of the token.

Authority (2.1)

An entity asked to make decisions or create tokens that was given the franchise to do so by some source of authority. That franchise may be given by previous agreement, some chain of delegation, or a trust on the part of the relying party.

Authority Policy (4.1.2)

The policy which determines which authorities are accepted and how the franchises are granted. Sometimes also referred to as Privilege Management Policy.

Authorization (2.0)

Either the act of authorizing a subject to access a resource, the issuing of a token that proves such a right, or the token itself. An authorization token may take the form of a signed assertion that the holder has the right to perform some action on a resource.

Authorization Agent Sequence (2.2.3)

An authorization sequence in which the subject will contact an authorization agent with a request for service. The agent makes the authorization decision, and if successful, contacts the resource to enable the service for the subject. Used in network provisioning and advanced Grid reservations.

Authorization Algorithm (4.5)

The rule(s) that is used to determine a subject's right to use a resources based on all or some of the following inputs: the actions requested, the attributes of the requestor, the attributes of the resource, authorization context, environmental context and the access policy (the collection of these inputs is sometimes referred to as authorization information).

Authorization Architecture (3.1)

An authorization architecture consists of a set of entities and functional components that allow authorization decisions to be made and enforced based on attributes, parameters and policies that define authorization conditions.

Authorization Assertion (2.2.1, 3.3.2)

An assertion by an authorization authority that the subject (holder of the assertion) has the right to specified access on a specified resource. An authorization assertion may be a response to a specific request for access. It should have a validity period specified. It may take the form of a signed certificate or a SAML authorization assertion. If it is not passed on a secured channel between the authority and the relying party, it must be signed. See Privilege Assertion and Authorization Decision Assertion.

Authorization Attribute (2.5)

A named property and value associated with an entity that implicitly or explicitly defines the subjects allowed actions on some resource. Attributes are typically descriptive values bound to an entity and are independent of any resource.

Authorization Client

The entity that makes authorization requests. May be the initiator of an access request or may be the AEF making the request on an initiator's behalf.

Authorization Context (4.4)

Properties of a specific authorization request such as time, location of requester, security of the message transport and authentication of the request. Also called contextual information.

Authorization Decision (2.0, 2.1)

The decision on what type of authorization is granted. Often this is a logical return (yes, no, undetermined) provided in an authorization token in response to an authorization decision request (frequently a binding to the request or request context is provided). The validity time or lifetime of Authorization Decisions is frequently implicitly defined in the system and not explicitly included in the decision. However, every decision has an associated lifetime.

Authorization Decision Assertion (4.1.2)

An Authorization Assertion asserting an Authorization Decision.

Authorization Information (2.1)

All the information that is used by the authorization algorithm. Includes information from authorities such as access policy, subject attributes; information from the requestor such as identity, rights or role restrictions; contextual information either about the request or the resource.

Authorization Policy (2.1, 2.5)

Same as access control policy.

Authorization Pull Sequence RFC 2904, (2.2.2)

An authorization sequence in which the subject makes an authenticated contact with the resource to gain access. The resource server contacts the authorization server giving it the identity of the subject. The authorization server then consults the access policy and "pulls" whatever attributes are required and possessed by the subject to make an authorization decision.

Authorization Push Sequence RFC 2904, (2.2.1)

An authorization sequence in which the subject first contacts an authorization or attribute authority with a request to fetch attribute or authorization tokens (authorization assertions) that will allow it to access a resource. The subject then hands those tokens to the resource as a proof of its right to access the resource.

Authorization Request (3.3.2, 4.4)

A request to use a resource. The entity that wishes to use the resource may be the requestor or may be specified as a subject of the request. In some systems, only authenticated requests from authorized entities are responded to.

Authorization Response (3.3.2, 4.5)

A response to an authorization request (a.k.a. Authorization Decision). The response must be securely bound to the request and when required to the responding entity. This may be accomplished by returning a signed authorization assertion or by using a secured channel between the requestor and the authorization decision function.

Authorization Sequence RFC 2904, (2.2)

The order and content of the messages between the affected entities during an authorization decision. See push, pull and agent sequences.

Authorization Service (2.1, 4.5)

The component performing the evaluation of the access policy to determine an authorization decision on behalf of the authorities. It may be implemented as a remote server or as a code module.

Authorization Subject (2.1)

An entity (person or process) that is requesting or has been granted the rights to use a resource.

Authorization System (3.1)

An implementation of an authorization sequence or model. It might refer to a placeholder for one implementation (e.g., on an architectural diagram). Includes all the processes, procedures and protocols necessary to carry out an authorization for that particular implementation.

Authorization Token (2.0)

A general term for a proof of a right, or reference to such a proof. May be implemented as an authorization assertion.

Certification Authority (CA) RFC 3280, (2.1)

A trusted entity which signs X.509 public key certificates upon request that bind a public key to a distinguished name. Possession of an X.509 public key certificate signed by a trusted CA is a start to establishing trust between two parties. See X.509 certificate.

Community Domain (2.3)

A set of servers, resources and users that extends beyond a single organization. Usually created to facilitate collaboration in some problem domain. Same as Virtual Organization domain.

Contextual Information

Information about or derived from the context in which an access request is made. Also called Authorization context or environmental parameters.

Delegation Attribute (4.2.3)

An attribute authorizing the subject to assert some rights held by the issuer of the attribute.

Domain (2.3)

A set of entities: users, servers and resources that trust the same authorities and share the same operational rules.

Enforcement of Access Rights (4.6)

The limitation of operations performed on resources on behalf of a subject to those permitted by an authoritative entity. (See Authorization Enforcement Function)

Environmental Authority (4.1.1)

The authority that defines properties of the resource environment, such as disk usage or machine load, or about the distributed environment such as the security of the connection or the Internet Protocol (IP) addresses of the client and server.

Environmental Parameters (4.1.1)

Same as contextual information or authorization context.

Holding Subject (2.1)

The entity to whom an assertion applies. Must be securely bound to the assertion.

Home Domain (2.3)

The real organization to which an entity belongs.

Identity Token (2.1, 4.2.3.3)

A general term for a proof or reference to a proof of identity. It may be implemented by an X.509 public key certificate, a Kerberos Ticket [KERBEROS], or a username. The term identity token is very general and may be used to describe an (electronic) token that is used during authentication to establish the identity of an entity or a token that attests that the identity of an entity has been established through a previous authentication step.

Initiator ISO 10181-3

An entity (e.g., human user or computer-based entity) that attempts to access other entities.

Obligation XACML, PONDER (6.1)

An (authorization) obligation is an instruction from a PDP to an entity requesting an authorization decision. The instruction may specify an operation that the must be performed in conjunction with the enforcement of a the authorization decision that corresponds to the authorization request.

Policy (2.1 2.5,3.4., 4.1.1, 4.2)

Policy is generally a set of rules that describe how a system should behave. In the general security context policy may cover things outside of the authorization domain, such as standards for message security, user identification, document encryption requirements, etc. Policy in the authorization domain (a.k.a. authorization or access policy) is typically limited to information and rules about resource access (see Access Control Policy).

- Policy Authority** (2.1, 4.1.1)
This is the source of authority for a resource domain and is responsible for defining the domain's trust relationships. It also issues authorization policies with respect to resources and services offered in the domain.
- Policy Decision Point (PDP)** RFC 2904, (3.2)
The point where policy decisions are made. See access control decision function.
- Policy Enforcement Point (PEP)** RFC 2904, (3.2)
The point where the policy decisions are actually enforced. See access control enforcement function.
- Policy Statement** (4.5)
Specifies the criteria for issuing the appropriate authorization responses for a request to use a resource. There could be several policy statements about one resource, in which case the authorization algorithm needs to know how to combine them in making a decision.
- Privilege** (4.2)
A privilege grants specific rights on a resource to a subject. It securely specifies one or more allowed actions on a specific resource for a specific subject typically with an explicitly associated lifetime. A privilege assertion is an authorization assertion asserting a privilege.
- Privilege Assertion**
One type of authorization assertion. See Privilege.
- Privilege Assignment** (4.2.2)
The process of defining who is allowed which access rights to a resource. Privileges may be granted directly to a subject or indirectly through access policy rules.
- Privilege Authority** (4.2.1)
An entity with the authority to issue privilege assertions for members of its domain with regard to resources in its own or other domains.
- Privilege Management** (4.1, 4.2)
The definition, acquisition, delegation, and management of authorization attributes and privileges.
- Proxy** (2.1,4.1.2)
An entity that has all or some of the rights of the delegating entity.
- Relying Party**
The entity that trusts and utilizes authorization information such as attribute assertions or authorization assertions to authorize or deny requested actions.
- Resource** (2.1)
A component of a system that provides or hosts services and may enforce access to these services based on a set of rules and policies defined by entities that are authoritative for the particular resource.
- Resource Authority** (4.1.1)
An entity that issues policy about the use of resources.
- Rights** (2.1)
The permission for a subject to perform an action on a resource.
- S-expressions** (3.4.1)

Symbolic expressions (S-expressions) are a convention for representing data in text form. They can be arbitrarily long and are structured as a branching tree, facilitating a simple isolation of sub-expressions. One can define a language using S-expressions by assigning meanings to the first element in the expression. S-expressions are used in LISP (see [McCarthy 1969]) to represent code and data and are used in SPKI to represent authorization certificates. Syntactically they consist of a list of elements where an element can also be a list.

- Service (2.0)
See Authorization Service.
- Service Point (2.0)
The interface that an (authorization) service provides to its clients.
- Service Provider RFC 2904
Same as service.
- Source of Authority (SOA) RFC2459, (4.1.1)
The root of authority for a domain. It may be a person or group of people fulfilling a role. It may delegate parts of its authority to other authorities. It defines the trust relationships between its domain and authorities in other domains.
- Subject (2.1)
An entity (e.g., a person or process) that can request, receive, own, transfer, present or delegate an electronic authorization to exercise a certain right.
- Subject Attributes (2.3, 4.2)
Attributes that are bound to subjects rather than any other component. See attribute.
- Subject Domain (2.3, 4.2.1)
The home domain of the subject. Normally a real organization.
- Transport Channel (4.4)
The underlying layer on which the authorization, attribute and policy requests and responses are transmitted. Of interest is what security features this layer provides.
- Target ISO 10181-3
An entity, usually a resource, to which access may be attempted.
- Trust (4.1)
The willingness to accept the risk associated with actions based on assertions by other parties.
- Trust Authority (4.1.1)
An entity that is trusted to make specified assertions. E.g., an Attribute Authority is one form of Trust Authority.
- Trust Management (4.1)
Trust management defines trust authorities and specifies what they should be trusted to do.
- Trust Relationships (4.1.2)
Policies which govern how entities in differing domains honor each other's authorizations. An authority may be completely trusted, e.g., any statement from the authority will be accepted as a basis for action, or there may be limited trust, in which case only statements in a specific range are accepted.

- Untrusted Services (4.6.2)
Executable components that are uploaded by a user to be run at a resource site and whose content is unknown to the site administrators.
- User RFC 2904, (2.2)
The entity seeking authorization to use a resource or a service.
- User Home Organization RFC 2904, (2.2)
The primary organization with which the user is associated.
- Virtual Organization (2.3)
A set of users, resources and services from different home organizations that have set up common authorities and operational rules in order to share the resources for a common purpose.
- Virtual Organization Domain (2.3)
A set of users, resources and services associated with a virtual organization.
- Wire Format (3.3.2, 3.4.3)
The specified data organization for network messages for interoperability between systems and domains.
- X.509 ISO X.509 –9594-8, (4.2)
Defines a structured name for users and services called a distinguished name that defines a unique name for a person by combining a common name with organizational and other components.
- X.509 Certificate, X.509 Public Key Certificate RFC 2459, (4.2.3.3)
A certificate that binds a X.509 distinguished name with a public key. When presented via a protocol that confirms that the presenter knows the private key associated with the public key in the certificate, can be used to authenticate the presenter of the certificate.
The X.509 Certificate of a user or subject is sometimes referred to as an End Entity Certificate. Identity Certificate is also a term sometimes used to describe a Public Key Certificate.

3. Common Acronyms:

- AAA - Authentication, Authorization, Accounting
- ACL - Access Control List
- ADF - Access Decision Function
- AEF - Access Enforcement Point
- API - Application Programming Interface
- CA - Certification Authority
- CAS - Community Authorization Service
- GACL - Generalized Access Control List
- LDAP - Lightweight Directory Access Protocol
- NIS - Network Information Service
- OGSA - Open Grid Services Architecture
- PDP - Policy Decision Point
- PEP - Policy Enforcement Point

PKI - Public Key Infrastructure
PKC - Public Key Certificate
POSIX - Portable Operating System Interface
QoS - Quality of Service
RBAC - Role Based Access Control
SAML - Security Assertion Markup Language
SAML-P - Security Assertion Markup Language - Protocol
SOA - Source of Authority
SOAP - Simple Object Access Protocol
SPKI - Simple Public Key Infrastructure
SSL - Secure Socket Layer
VO - Virtual Organization
VOMS - Virtual Organization Membership Services
WS-Policy - Web Services Policy
WS-Security - Web Services Security
WSDL - Web Services Description Language
XACML - eXtensible Access Control Markup Language
XML - eXchange Markup Language
XRML - eXtensible Rights Markup Language

4. Security Considerations

While this document defines the general meaning and semantics of technical terms used by the GGF community for the area of grid authorization it may be that specific systems attach different semantics to these terms. It is thus important to verify the exact meaning of terms used in a specific system before making security critical decisions based on the interpretation. This may be especially important for authorization decision functions that interpret authorization attributes.

Author Information

Markus Lorch
Department of Computer Science
Virginia Tech (m/c 106)
Blacksburg, VA 24061, USA
email: mlorch@vt.edu

Mary Thompson
Lawrence Berkeley National Laboratory
MS50B-2239
1 Cyclotron Rd.
Berkeley CA 94720
email: mrthompson@lbl.gov

Acknowledgements

The authors would like to acknowledge the contributions to this document from members of the community through comments, suggestions and review. We specifically thank Dane Skow, Von Welch, Jim Basney, and Richard Sinnott.

Intellectual Property Statement

The GGF takes no position regarding the validity or scope of any intellectual property or other rights that might be claimed to pertain to the implementation or use of the technology described in this document or the extent to which any license under such rights might or might not be available; neither does it represent that it has made any effort to identify any such rights. Copies of claims of rights made available for publication and any assurances of licenses to be made available, or the result of an attempt made to obtain a general license or permission for the use of such proprietary rights by implementers or users of this specification can be obtained from the GGF Secretariat.

The GGF invites any interested party to bring to its attention any copyrights, patents or patent applications, or other proprietary rights which may cover technology that may be required to practice this recommendation. Please address the information to the GGF Executive Director.

Full Copyright Notice

Copyright (C) Global Grid Forum (date). All Rights Reserved.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this paragraph are included on all such copies and derivative works. However, this document itself may not be modified in any way, such as by removing the copyright notice or references to the GGF or other organizations, except as needed for the purpose of developing Grid Recommendations in which case the procedures for copyrights defined in the GGF Document process must be followed, or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by the GGF or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and THE GLOBAL GRID FORUM DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE."

References

[ISO 10181-3] Security Frameworks for Open Systems: Access Control Framework, ITU-T

[ISO X.509 9594-8] Information Technology - Open Systems Interconnection The Directory: Authentication Framework, ITU-T

[KERBEROS] J. Steiner, C. Neuman, and J. Schiller, "Kerberos: An Authentication Service for Open Network Systems", Proc. of the Winter 1988 Usenix Conference, February, 1988

[McCarthy1996] McCarthy, J. et al, "LISP 1.5 Programmer's Manual", MIT Press, Dec. 1969

[PONDER] N. Damianou, N. Dulay, E. Lupu and M. Sloman. [The Ponder Policy Specification Language](#). Policy Workshop 2001, Jan. 2001, Bristol, U.K., Springer-Verlag, LNCS 1995.

[RFC2904] Vollbrecht, J., et al, " AAA Authorization Framework", RFC 2904, August 2000.

[RFC2753] R. Yavatkar, D. Pendarakis, R. Guerin
"A Framework for Policy-based Admission Control", January 2000

[RFC2459] R. Housley, W. Ford, W. Polk, D. Solo. "Internet X.509 Public Key Infrastructure Certificate and CRL Profile". January 1999. (Obsoleted by RFC3280)

[RFC3280] R. Housley, W. Polk, W. Ford, D. Solo. "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile". April 2002.

[RFC3281] S. Farrell, R. Housley. "An Internet Attribute Certificate Profile for Authorization". April 2002

[SAML] P. Hallam-Baker, E. Maler, et al, "Assertions and Protocol for the OASIS Security Assertion Markup Language (SAML), Oasis Standard, November 5th, 2002

[XACML] Simon Godik, Tim Moses, et al, "eXtensible Access Control Markup Language (XACML) Version 1.0", OASIS Standard, February 18th, 2003